



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,179	12/07/2000	Heather A. Boux	12071-006001

CONFIRMATION NO. 3168

## FORMALITIES LETTER



\*OC000000006537898\*

LEE CREWS, PH.D.  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 09/10/2001

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

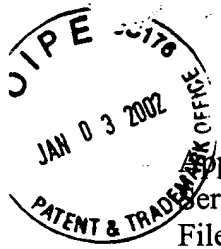
- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Heather A. Boux *et al.* Art Unit : Unknown  
 Serial No. : 09/733,179 Examiner : Unknown  
 Filed : December 7, 2000  
 Title : COMPOSITIONS AND METHODS FOR DETECTING STRESS-INDUCIBLE PROTEINS

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RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated September 10, 2001 (copy enclosed), Applicants submit herewith a Sequence Listing in computer readable form as required by 37 CFR §1.824. In addition, applicant submits a Sequence Listing as required under 37 CFR §1.823(a) and a statement under 37 CFR §1.821(f). As required by 37 C.F.R. §1.821(g), the undersigned hereby declares that the enclosed submission includes no new matter.

Applicants file herewith a Preliminary Amendment requesting entry of a paper copy of the Sequence Listing into the instant application and appropriate SEQ ID NOs into the specification and claims.

Applicant hereby submits that the enclosures fulfill the requirements under 37 C.F.R. § 1.821-1.825. The amendments in the specification merely insert the paper copy of the Sequence Listing and sequence identifiers in the specification. No new matter has been added.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 10, 2001  
 Date of Deposit

Mary E. Jacoby  
 Signature

Mary E. Jacoby  
 Typed or Printed Name of Person Signing Certificate

Applicant : Heather A. Boux *et al.*  
Serial No. : 09/733,179  
Filed : December 7, 2000  
Page : 2

Attorney's Docket No.: 12071-006001

Filed herewith is a Petition for a one-month Extension of Time, to and including December 10, 2001. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 10 2001



Lee Crews, Ph.D.  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Heather A. Boux et al.  
Serial No. : 09/733,179  
Filed : December 7, 2000  
Title : COMPOSITIONS AND METHODS FOR DETECTING STRESS-INDUCIBLE PROTEINS

Art Unit : Unknown  
Examiner : Unknown

**BOX SEQUENCE**

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Washington, D.C. 20231

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VERIFIED STATEMENT UNDER 37 CFR §1.821(f)

I, Maureen Ruttle, declare that I personally prepared the paper and the computer-readable copy of the Sequence Listing filed herewith for the above-identified application and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: December 7, 2001

Maureen Ruttle  
Maureen Ruttle

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 10, 2001  
Date of Deposit

Mary E. Jacoby  
Signature

Mary E. Jacoby  
Typed or Printed Name of Person Signing Certificate